

TENTATIVE UN-Habitat Evaluation Plan for 2016-2017

Introduction

The evaluation function in UN-Habitat works to strengthen its evaluation culture through targeted evaluation activities by enhancing quality, scope and coverage of evaluations in support of organizational learning, programme improvement and accountability at all levels of the agency.

UN-Habitat's evaluation function has improved through the creation of the Evaluation Unit in 2012, adoption of the evaluation policy in 2013 and institutionalization of evaluation management responses and follow-up on recommendations from evaluations. In 2015, the *Revised UN-Habitat Evaluation Framework* was adopted to increase evaluation coverage, assess projects at risk and improve procedures for planning and costing of evaluation in projects. The framework comes with four performance targets: 1) 100% of projects of value US\$1 million and above is evaluated; 2) 10% of projects of value US\$1-1,000,000 is evaluated; 3) At least one country programme evaluation for each 2 year Programme of Work in each of the four regions; and 4) Two corporate evaluations are conducted per year.

Priority-setting of the Evaluation Plan

According to the *UN-Habitat Evaluation Policy* (2013), the purpose of the Evaluation Plan is to prioritize and plan for evaluations to be conducted by UN-Habitat as well as other evaluation activities. Main types of evaluations conducted are evaluations of projects and programmes, country programme evaluations, evaluations of (or related to) the Strategic Plan, and impact evaluations. The evaluation plan is prepared by the Evaluation Unit, who is designated with the overall responsibility for evaluation activities. The plan is updated on an annual basis and subject to approval by the UN-Habitat Board. The evaluation plan (attached) is the draft version for further consultation with management.

The biennial plan is developed using a priority-setting model and benchmarks for identifying topics to be evaluated. The revised evaluation framework requires that all projects of US\$1 million and above have an end-of-project evaluation. For projects below US\$1 million, end-of-project evaluation or self-evaluation is conducted. Few projects are under US\$300,000 and evaluation is not required in such cases. Corporate evaluations are decided by management based on risk assessment.

Evaluation priorities for 2016-2017

The evaluation plan for 2016-2017 represents UN-Habitat's work at global level, in all its four regions, and at country level. Specifically, the following evaluations will be prioritized:

- *Global initiatives:* Achieving Sustainable Urban Development (ASUD); and Global Land Tool Network (GLTN) II, Participatory Slum Upgrading Programme (PSUP) II.
- *Country level performance:* Jordan, Lebanon, Egypt, Kuwait, Morocco, Palestine, DRC, Somalia, Sri Lanka - (TBC).
- *Normative work:* Evaluation of the City Planning component (SEA2.2) of FA2.
- *Contribution to MDGs/ SDGs:* Participatory Slum Upgrading Programme (PSUP) II, end-of-project evaluation.
- *Evaluation of the Strategic Plan, including cross-cutting issues:* Evaluability Study of the Strategic Plan, UN-Habitat Youth Programme; and the Gender Equality Unit.
- *Strategic corporate management:* Regional Office for Arab States; Cooperation Agreement between UN-Habitat and Sweden, 2012-2015.
- *Thematic evaluations:* Youth Empowerment for urban development and Gender Equity Programme

The evaluations will be managed by the Evaluation Unit (centralized evaluations) and providing quality assurance based on the UNEG Norms and Standards in Evaluation. Other evaluations (decentralized evaluations) will be managed by the project manager with technical support of the Evaluation Unit. Evaluations may also be managed by the donor, as it is the case of final evaluation of the Participatory Slum Upgrading Programme II by the European Union.

Looking Forward

Looking forward, evaluation focus and priorities during the biennium will be centered on:

- Developing and implementing quality and responsive evaluations, managing centralized and supporting decentralized evaluations of UN-Habitat interventions;
- Assessing programme outcomes and impacts of long term programmes of strategic importance for the New Urban Agenda; and
- Raising awareness and building capacity for use of evaluations for informed decision-making, effective programme delivery and resource mobilization.

UN-Habitat Evaluation Plan for 2016-2017 – Tentative Plan

Note: Each evaluation process include preparing for evaluation, development of Terms of Reference, set up of evaluation team, implementation of evaluation and follow-up by management response and monitoring of implementation of evaluation recommendations.

| Centralized Evaluations (Evaluations to be managed by the Evaluation Unit) | | | | | | | | | | | | | | | | | | | | | | | |
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| Status | Topic of Evaluation | Type | Requested by | Source Eval. Budget | Lead Unit | Related Focus Area | Year | Actual timeline for 2016 | | | | | | | | | | | | | | | |
| | | | | | | | | January | February | March | April | May | June | July | August | September | October | November | December | | | | |
| In progress | Evaluation of the Regional Office for Arab States | Institutional | Management | Project funds | ROAS | All | 2015/2016 (carried forward) | | | | | | | | | | | | | | | | |
| In progress | Evaluation of the Cooperation Agreement between UN-Habitat and Sweden, 2012-2015 | Programme | Donor/Management | PCA | External Relations | All | 2015/2016 (carried forward) | | | | | | | | | | | | | | | | |
| In progress | Evaluability Study of the Strategic Plan 2014-2019 | Study | OIOS | - | Evaluation Unit | All | 2015/2016 (carried forward) | | | | | | | | | | | | | | | | |
| TOR available | Evaluation of UN-Habitat Youth Programme | Programme | GC | Project funds | UEB | Cross-cutting issues (Youth) | 2015/2016 (carried forward) | | | | | | | | | | | | | | | | |
| Not started | Achieving Sustainable Urban Development Priorities (ASUD) | Programme | Project manager | Project funds | ULLG | Urban Legislation, Land and Governance | 2015/2016 (carried forward) | | | | | | | | | | | | | | | | |
| Not started | Evaluation of the City Planning component (SEA2.2) of FA2, 2012-2015 [incl. Cooperation with Booyoung] | Programme | Project manager | Project funds | UPDB | Urban Planning and Design Branch | 2016 | | | | | | | | | | | | | | | | |
| Not started | Evaluation of the Gender Equality in UN-Habitat's Policies and Programmes | Programme | Management | Project funds (Sida) | Programme Division | Cross-cutting issues Gender | 2016 - 2017 | | | | | | | | | | | | | | | | |

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| Not started | DRC Country Programme Evaluation (ROAf) | Programme | Management | Project funds | ROAf | - | 2016 | | | | | | | | | | | | |
| Not started | Somalia Country Programme Evaluation (ROAf) | Programme | Management | Project funds | ROAf | - | 2016 | | | | | | | | | | | | |
| Not started | Sri Lanka Country Programme Evaluation (ROAf) | Programme | Management | Project funds | ROAf | - | 2016 | | | | | | | | | | | | |
| Not started | Myanmar Lanka Country Programme Evaluation (ROAf) | Programme | Management | Project funds | ROAf | - | 2016 | | | | | | | | | | | | |
| Not started | Flood Protection, Water and Sanitation and Livelihoods for Returnees and IDPs in South Sudan | Project | Management | Project Funds | ROAf | Risk reduction and rehabilitation, Urban basic services | 2016 | | | | | | | | | | | | |
| Not started | Midterm Evaluation of the UN-Habitat Strategic Plan 2014-2017 | Strategy | Governing Council | - | - | All focus areas | 2017 | | | | | | | | | | | | |
| Decentralized Evaluations (Evaluations to be managed by programme/projects managers and donor evaluations) | | | | | | | | | | | | | | | | | | | |
| In progress | Evaluation of the Global Land Tool Network Phase 2 | Project | Donor | Project funds | ULLGB/ GLTN Unit | Urban Legislation, Land and Governance | 2015 | | | | | | | | | | | | |
| Not started | Lebanon Country Programme Evaluation (ROAS) | Programme | Management | Project funds | ROAS | - | 2016 | | | | | | | | | | | | |

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| Not started | Egypt Country Programme Evaluation | Programme | Management | Project funds | ROAS | - | 2016 | | | | | | | | | | | | | |
| Not started | Kuwait Country Programme Evaluation | Programme | Management | Project funds | ROAS | - | 2016 | | | | | | | | | | | | | |
| Not started | Morocco Country Programme Evaluation | Programme | Management | Project funds | ROAS | - | 2016 | | | | | | | | | | | | | |
| Not started | Palestine Country Programme Evaluation | Programme | Management | Project funds | ROAS | - | 2017 | | | | | | | | | | | | | |
| Not started | Evaluation of Cooperation with ICLEI on Urban Low Emission Development Strategy (LEDS) (J088) (final) | Project | Project manager | Project funds | UPDB/CCPU | Urban Planning and Design | 2015 | | | | | | | | | | | | | |
| In progress | Evaluation of UN-Habitat's Support to the Land commission of Liberia and Prevention of Land Disputes, Phase II | Project | Sida | Project funds | ROAf | Urban Legislation, Land and Governance | 2015/2016 | | | | | | | | | | | | | |
| Not started | Participatory Slum Upgrading Programme in ACP Countries – end of project evaluation | Project | EC | EC | HSUB | Housing and Slum Upgrading | 2016 | | | | | | | | | | | | | |
| Not started | Iraq Public Sector modernization Programme (IPSM) Phase II | Project | Policy | Project funds | Iraq ROAS | Urban Legislation, Land and Governance Urban Basic Services | 2017 | | | | | | | | | | | | | |
| Not started | Humanitarian support to IDPs in the Republic of Iraq including the Kurdistan Region of Iraq through provision of durable shelter | Project | Policy | Project funds | Iraq ROAS | Risk reduction and rehabilitation | 2017 | | | | | | | | | | | | | |

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| Not started | Implementation of the Biennial Programme of Cooperation between UN-Habitat and the Government of the Russian Federation for 2006-2007 | Project | Policy | Project funds | Programme Division | | 2016 | | | | | | | | | | | | | | | |
| Not started | City Resilience Profiling Programme | Project | Policy | Project funds | Risk Reduction and Rehabilitation Branch | Risk Reduction and Rehabilitation | 2016 | | | | | | | | | | | | | | | |
| Not started | The Africa Urban Agenda | Project | Policy | Project funds | External Relations/ Nigeria | Multiple | 2016 | | | | | | | | | | | | | | | |
| Not started | From Camps Transformation to Urban Revitalization (CATUR project), Port-au-prince, Haiti | Project | Policy | Project funds | Haiti/ROLAC | Urban Planning and Design | 2016 | | | | | | | | | | | | | | | |
| Self-Evaluation of Closing Projects in 2016 | | | | | | | | | | | | | | | | | | | | | | |
| Not started | Urban Planning and Design for Sustainable Development | Project | Policy | Project funds | Urban Planning and Design Branch | | 2016 | | | | | | | | | | | | | | | |
| Not started | Mainstreaming Multi-sectoral Urban Planning Framework and Governance in Vietnam through Policy Dialogues-Phase II | Project | Policy | Project funds | Vietnam/ROAP | | 2016 | | | | | | | | | | | | | | | |
| Not started | Supporting the Implementation of the Urban Burkina Faso Country Programme | Project | Policy | Project funds | Burkina Faso/ROAf | | 2016 | | | | | | | | | | | | | | | |
| Not started | UN-Habitat Cooperation Programme in Angola | Project | Policy | Project funds | ROAf | | 2016 | | | | | | | | | | | | | | | |

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| Not started | Support for Community-based Participatory City Development Strategies in Seven Vietnamese Cities (Cities Alliance) | Project | Policy | Project funds | Vietnam / ROAP | | 2016 | | | | | | | | | | | | | | |
| Not started | Community Mobilization for Improved WASH services and hygiene behaviors in district Jacobabad, Sindh, Pakistan | Project | Policy | Project funds | Pakistan /ROAP | | 2016 | | | | | | | | | | | | | | |
| Not started | Strengthening national capacities to formulate and adopt housing and slum upgrading strategies | Project | Policy | Project funds | Housing and Slum Upgrading Branch | | 2016 | | | | | | | | | | | | | | |

Other Priority Activities to be Implemented by the Evaluation Unit in 2016-2017

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| In-progress | Evaluability Assessments of Projects and Programmes proposals for improving Delivery of Results, including PAG review | Programmes /projects | Management/ Donor/ CPR | | Evaluation Unit | All | 2016 - 2017 | | | | | | | | | | | | | | |
| In-progress | Preparation of Evaluation Briefs to Promote Uptake of Evaluations | Institutional | Donor/C PR/Management | | Evaluation Unit | All | 2016 - 2017 | | | | | | | | | | | | | | |
| In-progress | Management Responses, Follow-up to Evaluation Action Plans and Implementation of On-line Evaluation Recommendation Tracking System | Institutional | Donor/ Management | | Evaluation Unit | All | 2016 - 2017 | | | | | | | | | | | | | | |
| In-progress | Manual for Evaluation and capacity Building of Staff in Evaluation | Institutional | Management | | Evaluation Unit | All | 2016 - 2017 | | | | | | | | | | | | | | |
| In-progress | UN System-wide Collaboration Activities Related to OIOS, JIU, UNEG, NIEN and Other Professional Networks | UN System wide | UNEG, OIOS, JIU, NIEN | | Evaluation Unit | All | 2016 - 2017 | | | | | | | | | | | | | | |
| In-progress | Translation, editing, layout and printing of evaluation reports | Institutional | Management | | Evaluation Unit | All | 2016 - 2017 | | | | | | | | | | | | | | |

